

## LAW OFFICE OF HARRY J. MACEY

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## FACSIMILE TRANSMITTAL SHEET

TO:	Examiner U. Ho Group Art Unit 3731	FROM:	Harry J. Macey
COMPANY:	U.S. Patent and Trademark Office	DATE:	5/6/04
FAX NUMBER:	703-872-9306	TOTAL NO. OF PAGES INCLUDING COVER:	13
PHONE NUMBER:	703-306-3421	SENDER'S REFERENCE NUMBER:	CSI-2013
RE:	Preliminary Amendment for USSN 09/686,004	YOUR REFERENCE NUMBER:	

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

PLEASE DELIVER TO EXAMINER HO  
GROUP ART UNIT 3731

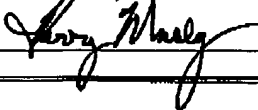
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1301 SHOREWAY ROAD, SUITE 121  
BELMONT, CA 94002-4106  
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PATENT  
Attorney Docket No.: CSI-2013

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****CERTIFICATE OF TRANSMISSION BY "FACSIMILE"**

I hereby certify that this correspondence and the accompanying documents are being facsimile transmitted to the United States Patent and Trademark Office, facsimile number (703) 872-9306 on May 6, 2004.

 Harry Macey

In re Application of:

Nguyen, et al.

Serial No.: 09/686,004

Filing Date: October 10, 2000

Title: MINIMALLY INVASIVE VALVE  
REPAIR PROCEDURE AND APPARATUS

Examiner: U. Ho

Group Art Unit: 3731

**TRANSMITTAL**

Commissioner for Patents  
Alexandria, VA 22313

Sir:

Transmitted herewith are the following:

1. Fee Transmittal (1pg)
2. Preliminary Amendment (9 pgs)

[ ] Authorization to charge the \$\* fee for the \* to Deposit Account No. \* is provided on the Fee Transmittal. A duplicate copy of that document is enclosed for that purpose.


[X] No fees are believed to be due. However, the Commissioner is hereby authorized to charge any fees required by this document to Deposit Account No. 50-1947 referencing Attorney Docket No. CSI-2013.

[ ] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1947, referencing Attorney Docket No. CSI-2013.

Respectfully submitted,

Date: May 6, 2004

By:

  
Harry J. Macey  
Reg. No. 32,878

LAW OFFICE OF HARRY J. MACEY  
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PTO/SB/17 (10-03)

Approved for use through 07/31/2008; OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 0.00**Complete if Known**

Application Number	09/888,004
Filing Date	October 10, 2000
First Named Inventor	John Nguyen
Examiner Name	U. Ho
Art Unit	3731
Attorney Docket No.	CSI-2013

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None  
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Deposit Account Name	LAW OFFICE OF HARRY J. MACEY

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Large Entity Fee	Small Entity Code	Small Entity Fee	Fee Description
1001	770	2001	385	Utility filing fee
1002	340	2002	170	Design filing fee
1003	530	2003	265	Plant filing fee
1004	770	2004	385	Reissue filing fee
1005	160	2005	80	Provisional filing fee

**SUBTOTAL (1) (\$)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
21	-22* = 22	x 9	= 0.00
Indep. Claims	4	-4** = 4	x 43 = 0.00
Multiple Dependent			=

Large Entity Code	Large Entity Fee	Small Entity Code	Small Entity Fee	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	88	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	88	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 0.00

\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Code	Large Entity Fee	Small Entity Code	Small Entity Fee	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for ex parte reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within second month
1253	950	2253	475	Extension for reply within third month
1254	1,480	2254	740	Extension for reply within fourth month
1255	2,010	2255	1,005	Extension for reply within fifth month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,330	2453	665	Petition to revive - unintentional
1501	1,330	2501	665	Utility issue fee (or reissue)
1502	480	2502	240	Design issue fee
1503	840	2503	320	Plant issue fee
1406	130	1406	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1808	180	1808	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))
1801	770	2801	385	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

0.00

**SUBMITTED BY**

Name (Print/Type)	Harry J. Macey	Registration No. (Attorney/Agent)	32,818	Telephone	650-654-9555
Signature		Date	May 6, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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